Case 1:07-cv-07767-AKH Document 14 Filed 12/19/2007 Page 1 of 2

Filed 10/25/2007 Page 1 of 2 Document 4 Case 1:07-cv-07767-AKH

UNITED STATES DISTRICT COURT

consent

Motion withdrawn

SOUTHERN DISTRICT OF NEW YORK

STEVEN S. NOVICK,

ECF Case

Case No.:

07 CIV 7767 (AKH)(KNF)

Plaintiff,

- against -

DEFENDANT'S NOTICE OF

MOTION TO DISMISS AND TO COMPEL ARBITRATION,

OR ALTERNATIVELY, TO

STAY ACTION

AXA NETWORK, LLC,

Defendant.

PLEASE TAKE NOTICE that, pursuant to Rule 12(b)(1) of the Federal Rules of

Civil Procedure and the Federal Arbitration Act, 9 U.S.C. §§ 1-16, and the Court's inherent

power to control its docket and to stay proceedings when parallel proceedings have been

commenced against a related party in arbitration, and based on the Affidavit of Jean Solanto,

sworn to October 25, 2007, and the exhibits annexed thereto, the Affidavit of James G. Murphy,

Esq., sworn to October 25, 2007, and the exhibits annexed thereto, and on all the pleadings and

proceedings herein, Defendant AXA Network, LLC ("Defendant") will move this Court, before

the Honorable Alvin J. Hellerstein, at the United State Courthouse, 500 Pearl Street, New York,

New York, 10007, on November 28, 2007 at 9:30 a.m. or such other date and time to be

determined by the Court, for an order dismissing the Plaintiff's action for lack of subject matter

jurisdiction and compelling Plaintiff to arbitrate his claims before the Financial Industry

Regulatory Authority ("FINRA"), or alternatively, to stay this action pending the resolution of

the arbitration pending before FINRA in the matter Steven S. Novick against AXA Advisors,

LLC, FINRA Docket No.: 07-2464. The grounds for this motion, are set forth in detail in the

DOCUMENT

ECTRONICALLY FILED

NY:2190471v1

Case 1:07-cv-07767-AKH Document 4 Filed 10/25/2007 Page 2 of 2

accompanying Memorandum of Law in Support of Defendant's Motion to Dismiss and to Compel Arbitration, or Alternatively, to Stay Action.

WHEREFORE, Defendant respectfully request that the Court (a) dismiss the Plaintiff's action for lack of subject matter jurisdiction and compel Plaintiff to arbitrate his claims before FINRA, or alternatively, (b) to stay this action pending the resolution of the arbitration pending before FINRA in the matter <u>Steven S. Novick against AXA Advisors, LLC</u>, FINRA Docket No.: 07-2464; and (c) award Defendant such other and further relief as the Court deems just and appropriate.

Dated: New York, New York October 25, 2007

EPSTEIN BECKER & GREEN, P.C.

250 Park Avenue

New York, New York 10177-1211

James G. Murphy

(212) 351-4500

E-mail: jmurphy@ebglaw.com

Attorneys for Defendant

To: Robert L. Herskovits, Esq.

Gusrae, Kaplan, Bruno & Nusbaum PLLC

120 Wall Street

New York, New York 10005-3977

Tel (212) 269-1400

E-mail: rherskovits@gkblaw.com

Attorneys for Plaintiff

NY:2190471v1 - 2 -